

04/12/01
JC985 U.S. PTO

04-13-01 A

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09/03/327
04/12/01

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Express Mail No.: EL603009610US
Attorney Docket No.: 2003080-0081
(SK-719-Z)

Date Filed: April 12, 2001

UTILITY PATENT APPLICATION TRANSMITTAL

(FOR NEW NONPROVISIONAL APPLICATIONS UNDER 37 C.F.R. § 1.53(B))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

Inventor(s):

Given Name (first and middle)	Family Name or Surname	Residence (City and State or Foreign Country)
Samuel J.	Danishefsky	Englewood, New Jersey 07631
Prashant P.	Deshpande	Plaindome, New Jersey 08536
In Jong	Kim	Seoul, Republic of Korea 156-010
Philip	Livingston	New York, New York 10021
Hyun Jin	Kim	New York, New York 10028
Govindaswami	Ragupathi	New York, New York 10028
Tae Kyo	Park	Taejon, Republic of Korea 305-380

Title of the Invention: ***Colon Cancer KH-1 and N3 Antigens***

A) APPLICATION ELEMENTS:

- 1) **Fee Transmittal Form** (original and duplicate submitted for fee processing)

EXPRESS MAIL NO.: EL603009610US
DATE FILED: APRIL 12, 2001

PAGE 1 OF 5

2) **Applicant Claims Small Entity Status** (see 37 CFR 1.27)

a) Statement Verifying Small Entity Status (optional)

3) **Specification** TOTAL PAGES: 144

- Descriptive Title of the Invention
- Cross References to Related Applications (if applicable)
- Field of the Invention
- Background of the Invention
- Summary of the Invention
- Description of the Drawing
- Detailed Description of the Invention
- Claim(s)
- Abstract of the Invention

4) **Drawing(s)** (35 U.S.C. § 113) TOTAL SHEETS: 13

a) Formal Drawings (if checked) TOTAL SHEETS: 23

5) **Oath or Declaration** TOTAL PAGES: 12

- a) Newly Executed (original or copy)
- b) Copy from a prior application (37 C.F.R. § 1.63(d))-for continuation/divisional application
 - i) DELETION OF INVENTOR(S): Signed Statement deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
- c) Unexecuted

6) **Application Data Sheet**. See 37 CFR 1.76.

7) **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**

8) **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all are necessary)

a) Computer Readable Form (CRF)

b) Specification Sequence Listing on:

i) CD-ROM or CR-R (2 copies); or

ii) Paper

c) Statements verifying identity of above copies

B) ACCOMPANYING APPLICATION PARTS:

9) **Assignment Papers** (as filed in parent application; with cover sheet & document(s)); (Reel/Frame; 9372/0487) (12 pages)

10) **37 C.F.R. § 3.73(b) Statement** (when there is an assignee)

11) **Power of Attorney** (in form of Notice--see below)

12) **English Translation Document** (if applicable)

13) **Information Disclosure Statement (IDS)/PTO-1449**

14) **Copies of IDS Citations**

15) **Preliminary Amendment** (7 pages)

16) **Return Receipt Postcard (MPEP 503)** (specifically itemized)

17) **Certified Copy of Priority Document(s)** (if foreign priority is claimed)

18) **OTHER:** (if applicable, specified below)

a) (Notice Regarding Power of Attorney 1 page)

C) FOR CONTINUING APPLICATIONS: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

CONTINUATION

DIVISIONAL

CONTINUATION-IN-PART (CIP)

OF PRIOR APPLICATION NO: 09/042,280

FILED: JANUARY 13, 1998

EXAMINER: S. UNGAR

GROUP/ART UNIT: 1642

FOR CONTINUATION OR DIVISIONAL APPLICATIONS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

Applicant claims small entity status 37 CFR 1.27

A check in the amount of \$355.00 is enclosed to cover the filing fees as determined on the fee transmittal enclosed herewith

The commissioner is hereby authorized to charge filing fees or credit any overpayment to deposit account number 03-1721.

Total filing fee amount \$355.00

E) CORRESPONDENCE ADDRESS:

Customer Bar Code Label:



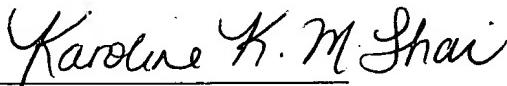
24280

PATENT TRADEMARK OFFICE

Correspondence Address:

Karoline K. M. Shair
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phone: (617) 248-5000
fax: (617) 248-4000

Respectfully Submitted,



Karoline K. M. Shair, Ph.D.
Reg. No.: 44,332

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Phone: (617) 248-5000
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EXPRESS MAIL No.: EL603009610US
DATE FILED: APRIL 12, 2001

JOINT

**APPLICATION
FOR
UNITED STATES LETTER PATENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

BE IT KNOWN, that We,

**Samuel J. Danishefsky, Englewood, New Jersey
Prashant P. Deshpande, Plaindome, New Jersey
In Jong Kim, Seoul, Republic of Korea
Philip Livingston, New York, New York
Hyun Jin Kim, New York, New York
Govindaswami Ragupathi, New York, New York
Tae Kyo Park, Taejon, Republic of Korea**

have invented certain new and useful improvements in **Colon Cancer KH-1 and N3 Antigens** of which the following is a specification:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 355.00)

Complete if Known	
Application Number	
Filing Date	
First Named Inventor	Danishefsky, et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	2003080-008j

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1721

Deposit Account Name Choate, Hall & Stewart

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355 Utility filing fee
106	320	206	160 Design filing fee
107	490	207	245 Plant filing fee
108	710	208	355 Reissue filing fee
114	150	214	75 Provisional filing fee

SUBTOTAL (1) (\$355.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	- 3*** =	X	=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

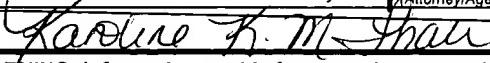
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Karoline K. M. Shair, Ph.D.	Registration No. (Attorney/Agent)	44,332	Telephone	617-248-5000
Signature					
Date					

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.